

Docket No. 210669US0

AUG 11 2003
U.S. PATENT & TRADEMARK OFFICE
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yuta NAKAI, et al.

SERIAL NO: 09/897,988

GAU: 1636

FILED: July 5, 2001

EXAMINER: MARIA MARVICH

FOR: METHOD FOR PRODUCING SUBSTANCE UTILIZING MICROORGANISM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

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SIR:

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Applicant(s) wish to disclose the following information.

REFERENCES

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- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

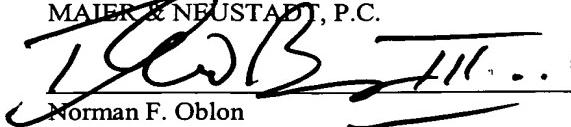
- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon

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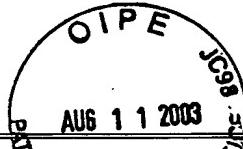
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08/12/2003 HDEMESS1 00000029 09897988

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SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 210669US0	SERIAL NO. 09/897,988		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Yuta NAKAI, et al.					
		FILING DATE July 5, 2001	GROUP 1636				
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
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	AI						
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	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AV	Lena WINSTEDT, et al., Cytochrome <i>bd</i> Biosynthesis in <i>Bacillus subtilis</i> : Characterization of the <i>cydABCD</i> Operon, Journal of Bacteriology, Vol. 180, No. 24, December 1998, pages 6571-6580.					
	AW	Margarida SANTANA, et al., Molecular Cloning, Sequencing, and Physiological Characterization of the <i>auox</i> Operon from <i>Bacillus subtilis</i> Encoding the <i>aa₃-600</i> Quinol Oxidase, The Journal of Biological Chemistry, Vol. 267, No. 15, May Issue, 1992, pages 10225-10231.					
	AX	Junshi SAKAMOTO, et al., Gene Structure and Quinol Oxidase Activity of a Cytochrome <i>bd</i> -type Oxidase from <i>Bacillus stearothermophilus</i> , Biochimica et Biophysica Acta, 1411, 1999, pages 147-158.					
	AY	Alessandro GIUFFRE, et al., Electron Transfer Kinetics of <i>caa₃</i> Oxidase from <i>Bacillus stearothermophilus</i> A Hypothesis of Thermophilicity, Biophysical Journal, Vol. 76, January 1999, pages 438-442.					
	AZ	Junshi SAKAMOTO, et al., Cytochrome c Oxidase Contains an Extra Charged Amino Acid Cluster in a New Type of Respiratory Chain in the Amino-acid-producing Gram-positive Bacterium <i>Corynebacterium glutamicum</i> , Microbiology, 2001, 147, 2865-2871.			<input type="checkbox"/> Additional References sheet(s) attached		
Examiner				Date Considered			

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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